



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,673	04/09/2004	An Duc Nguyen	11336/711 (P03034USU2)

00757  
 BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, IL 60610



CONFIRMATION NO. 1407

## FORMALITIES LETTER



\*OC000000013069016\*

Date Mailed: 06/25/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/27/2004 BABRAHA1 00000034 10821673

01 FC:1051

130.00 0P

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 7.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 6.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

MTB  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail<sup>®</sup> mailing label number: EV 329002108US

Date of Deposit: October 25, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: An Duc Nguyen, et al.

Appln. No.: 10/821,673

Filed: April 9, 2004

For: Acoustic Transducer With Mechanical Balancing

Attorney Docket No: 11336/711 (P03034USU2)

Examiner:

Art Unit: 2643

**BRINKS  
HOFER  
GILSON  
& LIONE**

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal Letter (in duplicate), Response to Notice to File Missing Parts of Application (in duplicate), Copy of Notice to File Missing Parts of Nonprovisional Application, Petition for Extension of Time (in duplicate), Declaration, Communication Regarding Power of Attorney, Power of Attorney (w/attached assignment), Submission of Formal Drawings, 12 Formal Drawings Sheets, Assignment Recordation Cover Sheet, Assignment, Information Disclosure Statement, Form PTO-1449, including copies of cited references A8 and A9, and Return Receipt Postcard.

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 44=			x \$88=	
First Presentation of Multiple Dep. Claim					+\$150=			+\$300=	
					Total	\$		Total	\$

**Fee payment:**

- ☒ Checks in the amount of \$560.00 to cover the surcharge and extension fees and \$40.00 to cover the assignment recordal fee are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

Cyrus F. Bharucha (Reg. No. 42,324)

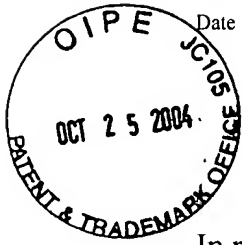
2004 Oct 25

*CFL*

Express Mail" mailing label number: EV329002108US

Date of Deposit: October 25, 2004

Case No. 11336/711 (P03034USU2)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

An Duc Nguyen, *et al.*

Serial No.: 10/821,673

Attention: Manager,  
Application Branch

Filed: April 9, 2004

For: Acoustic Transducer With  
Mechanical Balancing

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:


In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated June 25, 2004, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully-executed Declaration for Patent Application, Communication Regarding Power of Attorney, and Power of Attorney (including copy of assignment) for the above-referenced patent application.
- ☒ Applicant:
  - ☐ claims small entity status. See 37 CFR1.27.
  - ☒ is other than small entity
- ☒ Checks totaling \$560.00 for:
  - ☐ Filing Fee of \$\_\_\_\_.

- ☒ Surcharge of \$130.00.
- ☒ Extension Fee of \$430.00.
- ☐ Additional Claim Fees of \$\_\_\_\_\_.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Submission of Formal Drawings, 12 Formal Drawings Sheets, Assignment Recordation Cover Sheet, Assignment, \$40.00 Check, Information Disclosure Statement (in duplicate), Copies of Cited References A8-A9, Form PTO-1449, Receipt Postcard.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: October 25, 2004

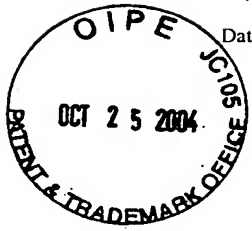
  
Cyrus F. Bharucha  
Registration No. 42,324  
Attorney for Applicants

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Express Mail" mailing label number: EV 329002108US

Date of Deposit: October 25, 2004

Case No. 11336/711 (P03034USU2)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

An Duc Nguyen

Serial No: 10/821,673

Examiner:

Filed: April 9, 2004

Group Art Unit: 2643

For: ACOUSTIC TRANSDUCER  
WITH MECHANICAL  
BALANCING

**SUBMISSION OF FORMAL DRAWINGS**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants have enclosed 12 sheets of formal drawings (Figures 1-12). These formal drawings are in compliance with the requirements stated in the Notice to File Missing Parts of Nonprovisional Application, dated June 25, 2004.

Respectfully submitted,

Dated: October 25, 2004

Cyrus F. Bharucha  
Registration No. 42,324  
Attorney for Applicants

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